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COMMENT

Intertwiner realization of a simple non-standard R -matrix†

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Abstract. Using Jimbo’s method, we realize the non-standard R -matrix $\check{R}^{\pm\frac{1}{2}}(x, y)$ as an intertwiner between non-generic irreducible representations of the quantum affine algebra $U_q(\hat{sl}_2)$.

The intertwiner theory [1] developed by Jimbo is powerful in constructing solutions to the Yang–Baxter equation (YBE) with spectral parameters [2–4]. It turns out that the so-called standard R -matrices with spectral parameters, for example, the R -matrix associated with the six-vertex model, can be realized as the intertwiners between two parametrized irreducible representations of the quantum universal enveloping algebra $U_q(\mathfrak{g})$ [5, 6] with \mathfrak{g} being an affine Lie algebra. Then the question naturally arises whether we can also put the non-standard R -matrices [7] into the framework of this theory to obtain a unified handling of solutions to YBE. In this comment we try to answer this question through a simple example, in which we explain the non-standard R -matrix $\check{R}^{\pm\frac{1}{2}}(x, y)$ as an intertwiner between two parametrized irreducible representations of $U_q(\hat{sl}_2)$ at roots of unity.

Definition. The quantum affine algebra $U_q(\hat{sl}_2)$ is an associative algebra over the complex number field \mathbb{C} generated by the elements e_i, f_i, h_i ($i=0, 1$) and the unit 1 subject to the following relations:

$$\begin{aligned}
 [h_i, e_i] &= 2e_i & [h_i, f_i] &= -2f_i \\
 [h_i, e_j] &= -2e_j & [h_i, f_j] &= 2f_j & (i \neq j) \\
 [h_0, h_1] &= 0 & [e_i, f_j] &= \delta_{ij}[h_i] \equiv \delta_{ij}(q^{h_i} - q^{-h_i}) / (q - q^{-1}) & (1) \\
 e_i^3 e_j - [3]e_i^2 e_j e_i + [3]e_i e_j e_i^2 - e_j e_i^3 &= 0 \\
 f_i^3 f_j - [3]f_i^2 f_j f_i + [3]f_i f_j f_i^2 - f_j f_i^3 &= 0 & (i \neq j).
 \end{aligned}$$

It is well known that $U_q(\hat{sl}_2)$ can be endowed with the coproduct Δ

$$\begin{aligned}
 \Delta(e_i) &= q^{h_i} \otimes e_i + e_i \otimes 1 \\
 \Delta(f_i) &= f_i \otimes q^{-h_i} + 1 \otimes f_i \\
 \Delta(h_i) &= h_i \otimes 1 + 1 \otimes h_i
 \end{aligned}$$

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and the antipode S

$$S(h_i) = -h_i \quad S(e_i) = -q^{-h_i}e_i \quad S(f_i) = -f_iq^{h_i}$$

to become a Hopf algebra, and according to Jimbo's argument for $x \in \mathbb{C} - \{0\}$ there exists a homomorphism of algebras $\varphi_x: U_q(\hat{sl}_2) \rightarrow U_q(sl_2)$ given by

$$\begin{aligned} \varphi_x(e_0) &= xf & \varphi_x(f_0) &= x^{-1}e & \varphi_x(h_0) &= -h \\ \varphi_x(e_1) &= e & \varphi_x(f_1) &= f & \varphi_x(h_1) &= h \end{aligned}$$

where e, f and h are the generators of $U_q(sl_2)$, which satisfy

$$[h, e] = 2e \quad [h, f] = -2f \quad [e, f] = [h].$$

Then from a representation (π, V) of $U_q(sl_2)$, where V is the representation space and π a homomorphic mapping from $U_q(sl_2)$ to $\text{End}(V)$, one can form the composition $(\pi \cdot \varphi_x \otimes \pi \cdot \varphi_y) \cdot \Delta$.

$$U_q(\hat{sl}_2) \xrightarrow{\Delta} U_q(\hat{sl}_2) \otimes U_q(\hat{sl}_2) \xrightarrow{\pi \cdot \varphi_x \otimes \pi \cdot \varphi_y} (\text{End } V \otimes \text{End } V) = \text{End}(V \otimes V)$$

which gives rise to a representation of $U_q(\hat{sl}_2)$ depending on $x, y \in \mathbb{C} - \{0\}$.

Jimbo's remarkable result [1] says that the standard R -matrices associated with $U_q(sl_2)$ are intertwiners (module isomorphisms) between certain representations $((\pi \cdot \varphi_x \otimes \pi \cdot \varphi_y) \cdot \Delta, V \otimes V)$ and $((\pi \cdot \varphi_y \otimes \pi \cdot \varphi_x) \cdot \Delta, V \otimes V)$ of $U_q(\hat{sl}_2)$ with q being generic.

On the other hand, it has been proved that the non-standard R -matrices without spectral parameters associated with $U_q(sl_2)$ can be obtained from the universal R -matrix by considering the representations of $U_q(sl_2)$ at roots of unity [8]. So to realize the non-standard R -matrices with spectral parameters as intertwiners between irreducible representations of $U_q(\hat{sl}_2)$ we naturally consider the representations of $U_q(\hat{sl}_2)$ in the case that q is a root of unity.

Let us focus our attention on the simplest case that $q^2 = -1$, in which one has a two-dimensional irreducible representation of $U_q(sl_2)$ depending on an arbitrary parameter $\lambda \in \mathbb{C}$. Suppose the representation space V is spanned by the vectors v_0 and v_1 , then the representation (π, V) can be written as (for simplicity, from now on we will use module language) [8]:

$$\begin{aligned} hv_0 &= \lambda v_0 & hv_1 &= (\lambda + 2)v_1 & ev_0 &= v_1 & ev_1 &= 0 \\ fv_0 &= 0 & fv_1 &= -[\lambda]v_0 \end{aligned}$$

and the representation $((\pi \cdot \varphi_x \otimes \pi \cdot \varphi_y) \cdot \Delta, V \otimes V)$ of $U_q(\hat{sl}_2)$ takes the following form

$$\begin{aligned} h_0(v_i \otimes v_j) &= -(2\lambda + 2i + 2j)(v_i \otimes v_j) \\ h_1(v_i \otimes v_j) &= (2\lambda + 2i + 2j)(v_i \otimes v_j) \quad i, j = 0, 1 \\ e_0(v_0 \otimes v_0) &= 0 & e_0(v_0 \otimes v_1) &= -q^{-\lambda}y[\lambda]v_0 \otimes v_0 \\ e_0(v_1 \otimes v_0) &= -x[\lambda]v_0 \otimes v_0 \\ e_0(v_1 \otimes v_1) &= -x[\lambda]v_0 \otimes v_1 + q^{-\lambda}y[\lambda]v_1 \otimes v_0 \\ f_0(v_0 \otimes v_0) &= y^{-1}v_0 \otimes v_1 + q^{\lambda}x^{-1}v_1 \otimes v_0 \\ f_0(v_0 \otimes v_1) &= -q^{\lambda}x^{-1}v_1 \otimes v_1 \end{aligned}$$

$$\begin{aligned}
 f_0(v_1 \otimes v_0) &= y^{-1}v_1 \otimes v_1 & f_0(v_1 \otimes v_1) &= 0 \\
 e_1(v_0 \otimes v_0) &= v_1 \otimes v_0 + q^\lambda v_0 \otimes v_1 \\
 e_1(v_0 \otimes v_1) &= v_1 \otimes v_1 & e_1(v_1 \otimes v_0) &= -q^\lambda v_1 \otimes v_1 \\
 e_1(v_1 \otimes v_1) &= 0 \\
 f_1(v_0 \otimes v_0) &= 0 & f_1(v_0 \otimes v_1) &= -[\lambda]v_0 \otimes v_0 \\
 f_1(v_1 \otimes v_0) &= -q^{-\lambda}[\lambda]v_0 \otimes v_0 \\
 f_1(v_1 \otimes v_1) &= -[\lambda]v_1 \otimes v_0 + [\lambda]q^{-\lambda}v_0 \otimes v_1.
 \end{aligned}$$

Using these equations one can easily prove that as a $U_q(\hat{sl}_2)$ module the vector space $V \otimes V$ is generated by the vector $v_1 \otimes v_1$ when $x/y \neq q^{2\lambda}$ and $[2\lambda] \neq 0$. In fact, from the vector $v_1 \otimes v_1$ we can obtain the following vectors through the actions of $U_q(\hat{sl}_2)$:

$$\begin{aligned}
 e_0 v_1 \otimes v_1 &= -x[\lambda]v_0 \otimes v_1 + q^{-\lambda}y[\lambda]v_1 \otimes v_0 \\
 f_1 e_0 v_1 \otimes v_1 &= (x - q^{-2\lambda}y)[\lambda]^2 v_0 \otimes v_0 \\
 f_0 f_1 e_0 v_1 \otimes v_1 &= (x - q^{-2\lambda}y)[\lambda]^2 (y^{-1}v_0 \otimes v_1 + q^\lambda x^{-1}v_1 \otimes v_0)
 \end{aligned}$$

and it is easily seen that when $x/y \neq q^{-2\lambda}$ and $[2\lambda] \neq 0$ these vectors together with the vector $v_1 \otimes v_1$ span the whole space $V \otimes V$.

Proposition 1. If $x/y \neq q^{\pm 2\lambda}$ and $[2\lambda] \neq 0$, the representation $((\pi \cdot \varphi_x \otimes \pi \cdot \varphi_y) \cdot \Delta, V \otimes V)$ is irreducible.

Proof. Suppose S is a non-empty $U_q(\hat{sl}_2)$ -invariant subspace of $V \otimes V$, then an element $w \in S$ can be written as

$$w = \sum_{i,j=0}^1 c_{ij} v_i \otimes v_j \quad c_{ij} \in \mathbb{C}.$$

Since there exist only the following three cases, the proposition directly follows from the fact that $V \otimes V$ is generated by $v_1 \otimes v_1$.

Case 1. $c_{00} \neq 0$ or $c_{00} = 0, c_{01} - q^\lambda c_{10} \neq 0$.

$$\begin{aligned}
 e_1 w &= c_{00}(v_1 \otimes v_0 + q^\lambda v_0 \otimes v_1) + (c_{01} - q^\lambda c_{10})v_1 \otimes v_1 \\
 f_0 e_1 w &= c_{00}(y^{-1} - x^{-1}q^{2\lambda})v_1 \otimes v_1.
 \end{aligned}$$

Case 2. $c_{00} = 0$ and $c_{01} = q^\lambda c_{10} \neq 0$.

$$\begin{aligned}
 f_0 w &= -x^{-1}q^\lambda c_{01}v_1 \otimes v_1 + y^{-1}c_{10}v_1 \otimes v_1 \\
 &= (y^{-1} - x^{-1}q^{2\lambda})c_{10}v_1 \otimes v_1.
 \end{aligned}$$

Case 3. $c_{00} = 0$ and $c_{01} = q^\lambda c_{10} = 0$.

$$w = c_{11}v_1 \otimes v_1.$$

For the relation between the representations $((\pi \cdot \varphi_x \otimes \pi \cdot \varphi_y) \cdot \Delta, V \otimes V)$ and $((\pi \cdot \varphi_y \otimes \pi \cdot \varphi_x) \cdot \Delta, V \otimes V)$ we have

Proposition 2. If $x/y \neq q^{\pm 2\lambda}$ and $q^{2\lambda} \neq 1$, there exists an intertwiner between the representations $((\pi \cdot \varphi_x \otimes \pi \cdot \varphi_y) \cdot \Delta, V \otimes V)$ and $((\pi \cdot \varphi_y \otimes \pi \cdot \varphi_x) \cdot \Delta, V \otimes V)$.

Proof. We introduce the notation

$$\phi \equiv (\pi \cdot \varphi_x \otimes \pi \cdot \varphi_y) \cdot \Delta \qquad \psi \equiv (\pi \cdot \varphi_y \otimes \pi \cdot \varphi_x) \cdot \Delta$$

then what we need to prove is that there is an automorphism $\check{R}(x, y)$ of the vector space $V \otimes V$ such that the diagram

$$\begin{array}{ccc} V \otimes V & \xrightarrow{\check{R}(x, y)} & V \otimes V \\ \phi(U_q(\mathfrak{sl}_2)) \Big| & & \Big| \psi(U_q(\mathfrak{sl}_2)) \\ V \otimes V & \xrightarrow{\check{R}(x, y)} & V \otimes V \end{array}$$

is commutative. To this end let us consider the linear mapping $\check{R}(x, y)$ determined by the equations

$$\begin{aligned} \check{R}(x, y)v_0 \otimes v_0 &= v_0 \otimes v_0 \\ \check{R}(x, y)v_0 \otimes v_1 &= (1/(yq^\lambda - xq^{-\lambda}))((q^\lambda - q^{-\lambda})yv_0 \otimes v_1 + (y-x)v_1 \otimes v_0) \\ \check{R}(x, y)v_1 \otimes v_0 &= (1/(yq^\lambda - xq^{-\lambda}))((y-x)v_0 \otimes v_1 + x(q^\lambda - q^{-\lambda})v_1 \otimes v_0) \\ \check{R}(x, y)v_1 \otimes v_1 &= (xq^\lambda - yq^{-\lambda})/(yq^\lambda - xq^{-\lambda})v_1 \otimes v_1. \end{aligned}$$

After some calculation one can easily see that when $x/y \neq q^{\pm 2\lambda}$, $q^{2\lambda} \neq 1$ $\check{R}(x, y)$ is an automorphism of $V \otimes V$, and its commutativity with the action of $U_q(\mathfrak{sl}_2)$ can also be verified directly. This proves the proposition.

Written in matrix form, the intertwiner $\check{R}(x, y)$ is

$$\check{R}(x, y) = \frac{1}{yt - xt^{-1}} \begin{bmatrix} yt - xt^{-1} & & & \\ & (t - t^{-1})y & (y - x) & \\ & (y - x) & (t - t^{-1})x & \\ & & & xt - yt^{-1} \end{bmatrix} \qquad t = q^\lambda.$$

This is exactly the so-called non-standard R -matrix with spectral parameters associated with the fundamental representation of $U_q(\mathfrak{sl}_2)$. We have successfully realized it as an intertwiner between irreducible representations of $U_q(\mathfrak{sl}_2)$ (in the case that $[2\lambda] \neq 0$) and it seems reasonable to expect that the other non-standard R -matrices with spectral parameters can be handled similarly.

Finally, we should mention that the R -matrix obtained above can also serve as an intertwiner of certain representations of the quantum superalgebra $U_q(\mathfrak{sl}(1|1))$ [9]. We should also mention that it has already been pointed out in [10] that the same R -matrix can be understood as the intertwiner of representations of the quantum affine algebra at q roots of unity. But a further explanation is not presented there. So compared with [10], this present paper includes some new results. We have treated the four-dimensional tensor representations in a mathematically rigorous way and we have derived the irreducibility condition precisely, which is not at all self-evident when q is a root of unity. Besides, we have made it clear that in the non-generic case the parameter t in the intertwiner is not the same as the deformation parameter q in the quantum affine algebra, in contrast to the generic case.

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